



- In recent years multilateral development bank safeguards policies and procedures have largely **converged**, and are mostly indistinguishable notwithstanding some institution-specific requirements
- For example MDB are all committed to avoiding, minimizing, mitigating, and compensating for the adverse impacts through well-designed and appropriately-implemented plans/programs on **environmental management, resettlement and indigenous peoples**
- Overarching objectives of safeguards policy statements
  - Avoid adverse impacts of projects on the environment and affected people, where possible;
  - Minimize, mitigate, and / or compensate for adverse project impacts on the environment and affected people when avoidance is not possible; and
  - Help borrowers / clients to strengthen their safeguard systems and develop the capacity to manage environmental and social risks

## ■ **Environment**

- To ensure the environmental soundness and sustainability of projects; and
- To support the integration of environmental considerations into the project decision-making process

## ■ **Involuntary Resettlement Safeguards Objectives**

- To avoid involuntary resettlement wherever possible, and to minimize involuntary resettlement by exploring project and design alternatives;
- To enhance, or at least restore, the livelihoods of all displaced persons in real terms relative to pre-project levels; and
- To improve the standards of living of the displaced poor and other vulnerable groups

- **Indigenous Peoples Safeguards Objectives**
  - To design and implement projects in a way that fosters full respect for Indigenous Peoples' identity, dignity, human rights, livelihood systems, and cultural uniqueness as defined by the Indigenous Peoples themselves so that they (i) receive culturally appropriate social and economic benefits, (ii) do not suffer adverse impacts as a result of projects, and (iii) can participate actively in projects that affect them.

## 1. Screening and Categorization

- Projects are assigned to one of the following **categories**:
  - A – Environment Impact Assessment (EIA)  
(irreversible, diverse, or unprecedented)
  - B – Initial Environmental Examination (IEE)
  - C – No environmental assessment (EA) required
- For projects deemed by ADB as **highly complex and sensitive**, use an independent advisory panel during project preparation and implementation

## 2. Environmental Assessment

The project will be required to conduct an EIA to identify potential impacts:

- physical
- biological
- **socioeconomic** (including impacts on livelihood through environmental media, health and safety, vulnerable groups, and gender issues)
- physical cultural resources

in the context of the **project's area of influence** (i.e., possible relevance for consideration of cumulative impacts)

## 3. Alternatives Examination

For projects with potential significant impacts, EIA will

- Examine **alternatives** to the project's location, design, and technology
- Document the rationale for selecting the particular project location, design, and technology
- Consider the **'no project' alternative**

## 4. Environmental Management Plan

- The borrower/client will be required to prepare an EMP for Category A and B projects
- EMP will be prepared to **avoid, minimize and mitigate** adverse impacts
- If some residual impacts are likely to remain significant after mitigation, the EMP will also include appropriate offset measures
- EMP will address the third-party risk (e.g., project proponent must take ownership of the EIA/EMP and responsibility for all implementation risks) for when such risk is high and the borrower/client has control



## 5. Consultation & Grievance Redress Mechanism

- The borrower/client will carry out **meaningful consultation** with affected people and other concerned stakeholders
- Meaningful consultation is a process that:
  - Begins early and is carried out on an ongoing basis
  - Provides timely disclosure of relevant and adequate
  - Ensures information accessible to affected people
  - Is undertaken in an atmosphere free of intimidation
  - Is gender inclusive and responsive, and tailored to the needs of vulnerable groups
  - Enables the incorporation of all relevant views

## 6. Information Disclosure

### ADB will post on its website:

- Draft EIA reports for Category A projects
- Draft environmental assessment and review framework (EARF) before project appraisal
- Final or updated EIA/IEE, and environmental monitoring reports upon receipt

### Borrowers/Clients are required to:

- Submit to ADB the above documents for **disclosure**
- Provide relevant information, including information from the above documents in a timely manner, **in an accessible place** and in a form and language(s) **understandable** to affected persons and other stakeholders

## 7. Monitoring & Reporting

The project will:

- Retain qualified and experienced external experts or NGOs to **verify its monitoring information** for Category A projects
- Prepare monitoring reports that describe progress with **implementation of the EMP**, and corrective actions, if any
- Submit to ADB (i) semiannual reports during construction; (ii) annual reports during operation for Category A projects; and (iii) quarterly reports for highly complex and sensitive projects

## 8. Biodiversity Protection & Sustainable Natural Resource Management

- Requires projects to identify measures to avoid, minimize, or mitigate potentially adverse impacts and, as a last resort, propose compensatory measures / biodiversity offsets, to achieve no net loss or a net gain of the affected biodiversity
- **Sets out specific requirements on habitat / biodiversity protection**
- Requires the borrower / client to demonstrate the sustainable management of natural resources

## 9. Pollution Prevention and Abatement

- The project will **apply pollution prevention and control technologies/practices** consistent with those reflected in the WB Group's Environmental Health and Safety guidelines
- When host country regulations differ, the project will achieve whichever is **more stringent**
- Promote the **reduction of project-related GHG emissions** in a manner appropriate to the nature and scale of project operations and impacts
- Conduct quantification and monitoring of GHG emissions annually, for projects with significant GHG emissions ( $\geq 100,000$  tons of CO<sub>2</sub> equivalent per year)

## 10. Occupational and Community EHS

- Provide workers with **safe and healthy working conditions**
- Prevent work place accidents, injuries, and disease through adoption of necessary hazard resolution measures
- **Identify / assess the impacts / risks to affected communities** during project design, construction, operation, and decommissioning, and establish preventative measures
- Fully consider water quality and availability; structural safety; fire safety; traffic safety; hazardous materials; disease prevention
- Establish emergence response procedures
- Provide **appropriate information** to affected communities

## 11. Physical Culture Resources Protection

For projects with potential impacts on PCR:

- Hire **qualified experts** to assess impacts using field-based surveys
- **Consult** with affected communities and relevant national / local agencies
- Identify **appropriate measures** for avoiding or mitigating the impacts
- Include **chance finds** procedures in the EMP and provide that chance finds shall not be disturbed until an assessment by expert(s) is made and preventive and mitigation measures are identified



# Thank you

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